



National Aeronautics and  
Space Administration

Jet Propulsion Laboratory  
California Institute of Technology  
Pasadena, California



# Surface Water and Ocean Topography (SWOT) Mission

SWOT Science Team Meeting  
January 13-15, 2016

Cal/Val Overview

SWOT Cal/Val Team



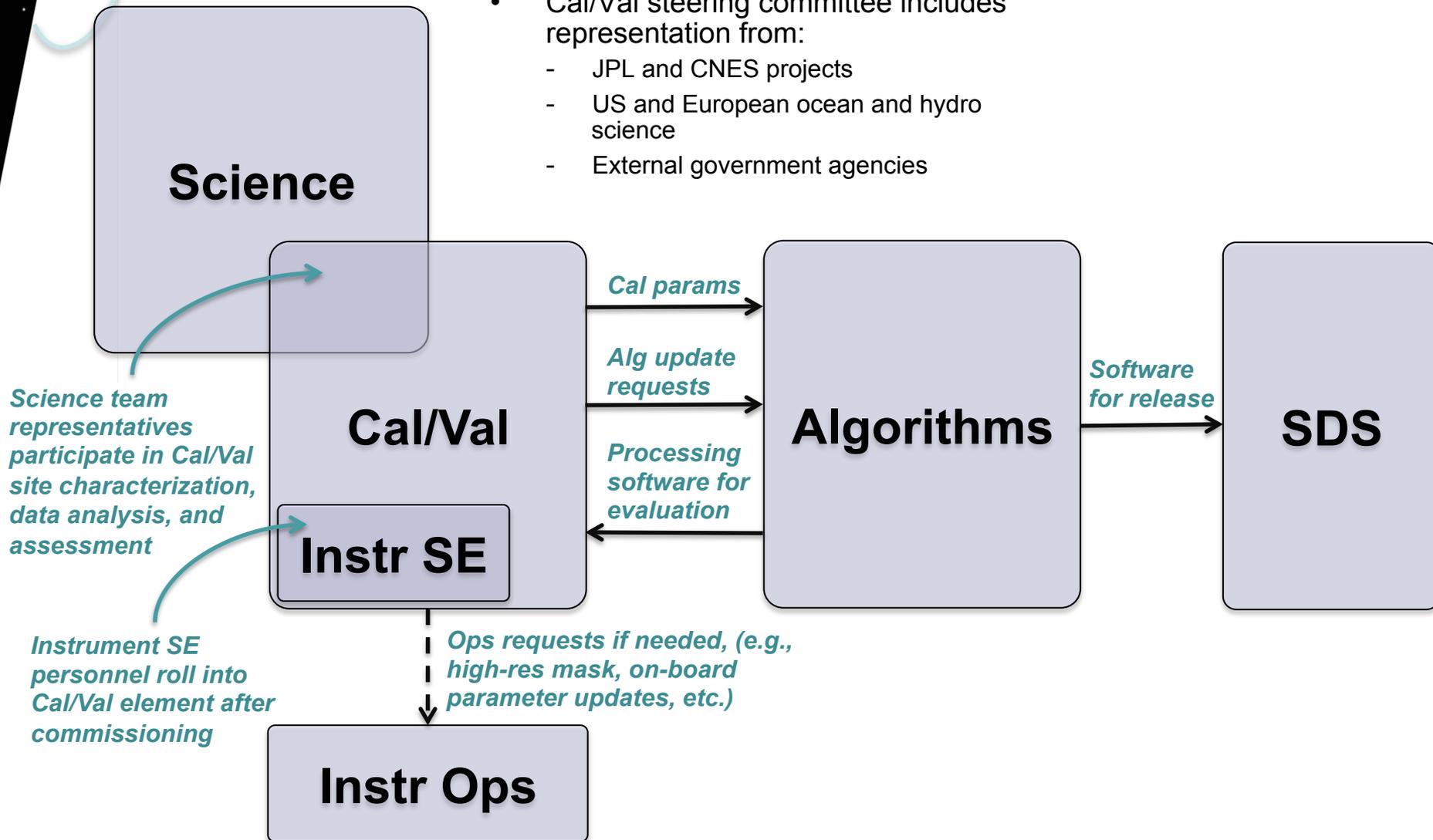
# Cal/Val Overview

- Basic objectives of Cal/Val:
  - Estimate calibration parameters for ground processing based on flight data
  - Validate measurement performance: Does system behave as expected, and if not, what can/should we do?
  - Validate the data products
  - Validate measurement with respect to high-level requirements: Does performance meet mission success criteria?
- Major activities:
  - Collect truth data at identified Cal/Val sites for comparison with SWOT measurements
  - Compare SWOT measurements to external truth data
  - Compare SWOT measurement characteristics to models and simulations
  - Resolve anomalies, coordinate with ADT for algorithm upgrades
  - Plan and coordinate above efforts
- CalVal plan is in final draft form and will be released soon by the project.
  - Contact [ernesto.rodriquez@jpl.nasa.gov](mailto:ernesto.rodriquez@jpl.nasa.gov) if you would like a draft version



# Team Organization in Cal/Val Phase

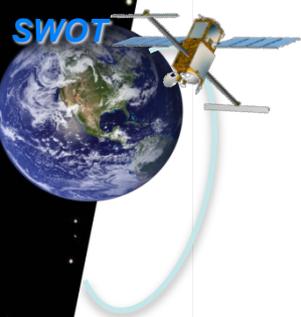
- Cal/Val steering committee includes representation from:
  - JPL and CNES projects
  - US and European ocean and hydro science
  - External government agencies



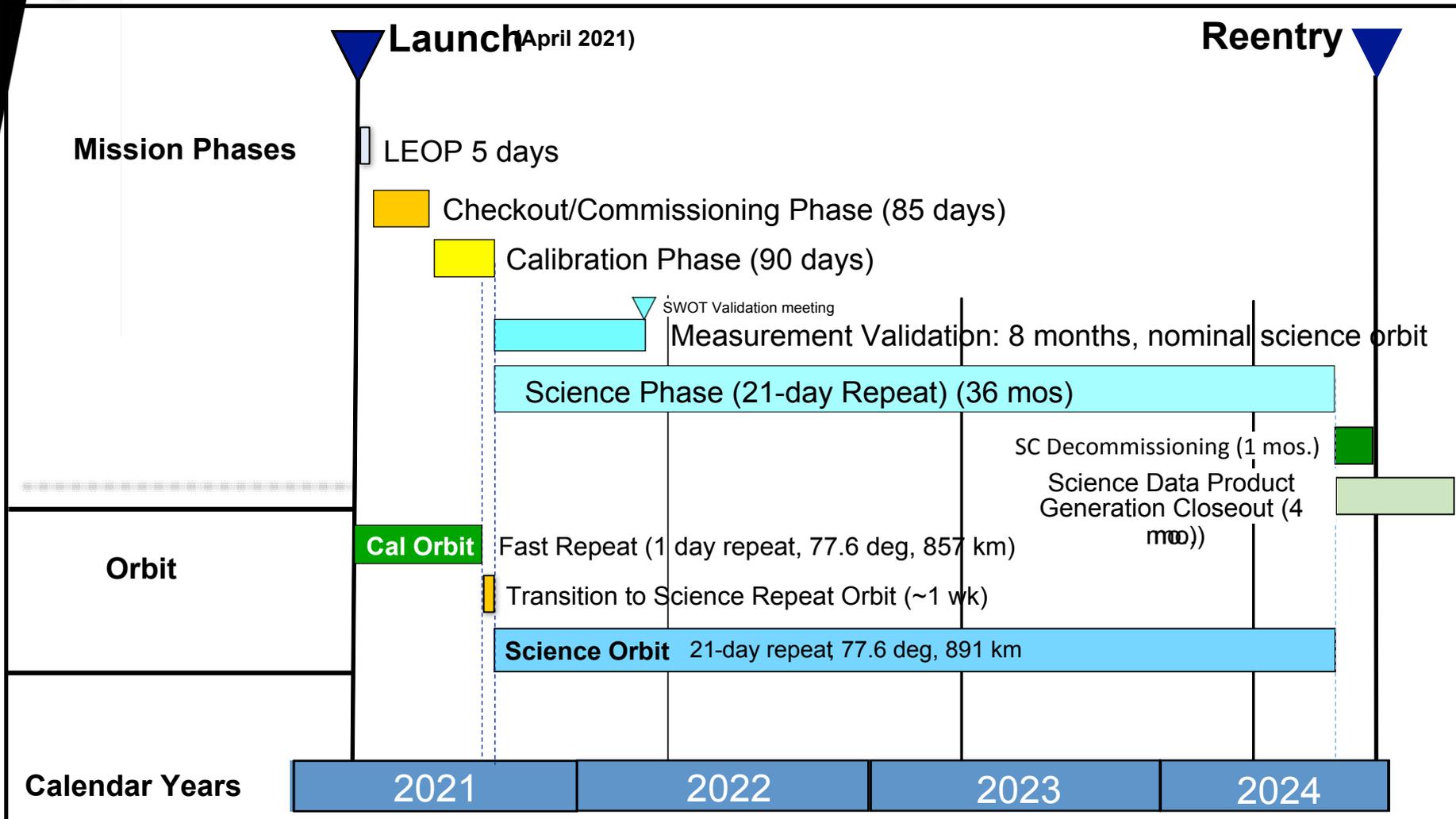


# CalVal Steering Group

- Steering group leads:
  - Ernesto Rodríguez (JPL)
  - Stéphane Calmant (IRD)
- Project leads:
  - Curtis Chen (JPL)
  - Nicolas Picot (CNES)
- Hydrology Representatives
  - Tamlin Pavelsky (UNC)
  - Jean-François Crétaux (LEGOS/CNES)
  - Pascal Bonnefond (OCA)
  - Paul Bates (U Bristol)
- Ocean Representatives
  - Yi Chao (RSS)
  - Francesco d'Ovidio (LOCEAN-IPSL, CNRS),
  - Pascal Bonnefond (OCA)
- Government Agency Representatives
  - Justin (Toby) Minear (USGS)
  - Al Pietroniro (Environment Canada)

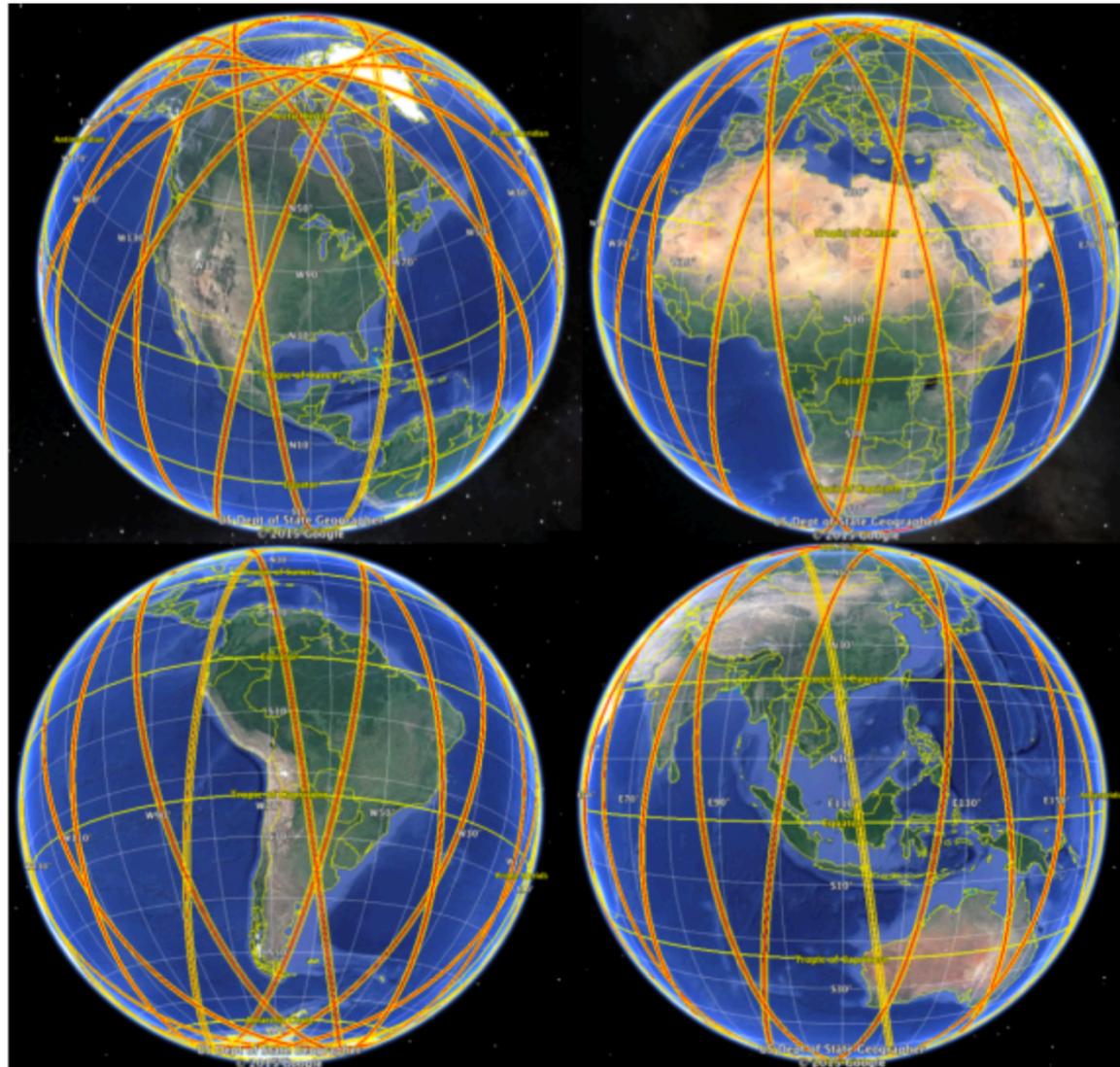


# Mission Phases / Timeline (Proposed)





# 1-day Repeat Orbits for CalVal & Ocean Science



**Figure 1: Fast sampling phase orbit coverage during the SWOT 1-day repeat phase.**

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# Advantages/Disadvantages of 1-day Orbit

- Advantages
  - Revisits 1/day (2/day at cross-overs)
  - Ocean SSH correlated between repeats (except for smallest scales and internal waves)
  - Can sample fast dynamic variability for rivers
  - Experiment sites can be identified, characterized, and instrumented before launch
  - CalVal can be accomplished more quickly
- Disadvantages
  - Measurement accuracy degrades away from cross-over diamonds or from the ocean
    - ◆ Errors are long-wavelength, cross-track slope & height drift
    - ◆ Can be partially overcome with corner reflectors in the scene
  - Location of CalVal sites is limited over land for activities during the 1-day cycle phase
- CalVal does not end with 1-day orbit:
  - Ongoing instrument drift calibration
  - Global validation
  - **Characterize** discharge and wetland performance
  - Ongoing CalVal until CalVal meeting and beyond to correct for instrument drifts



# SWOT CaVal Site Selection Philosophy

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- Designate a relatively small number of Tier 1 “super-sites”, that will be the focus of project funded calibration and validation activities.
- Encourage interested science team members to contribute and complement super-sites in collaboration with the project.
- Communication and coordination with science team members who have additional sites
- Leverage available data sets and sites (Tier 2) to improve global coverage
- Engage the scientific community to participate in SWOT by providing additional sites, data, and expertise
  - First meeting with South American hydrologists led to science team participation through CNES and identification of South American sites
  - Plan to reach out globally



# Calibration



# Key Calibration Parameter Overview

- Focus here on parameters that do not have heritage from Jason series
- **Blue:** does not require in-situ data
- **Red:** requires in situ or other data
- **KaRIn:**
  - Differential range delay
  - Phase **screen**
  - Static phase/roll bias
  - **Static range bias**
  - **Absolute radiometric calibration factor**
- **Advanced Microwave Radiometer (AMR):**
  - **Inter-beam calibration**

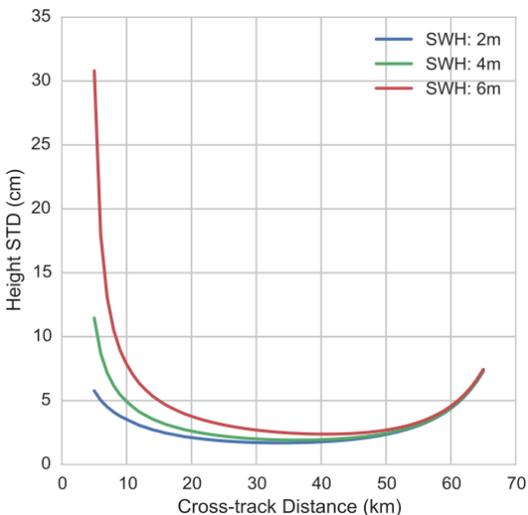
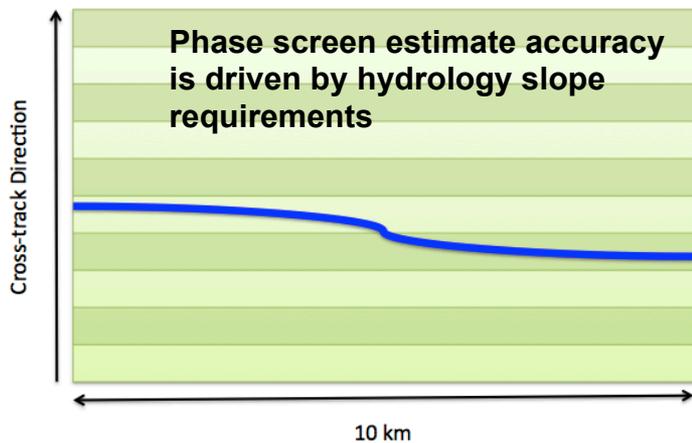


# Phase Screens

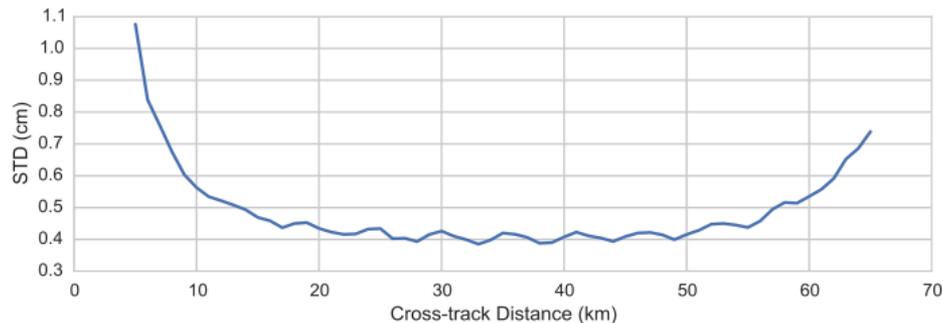
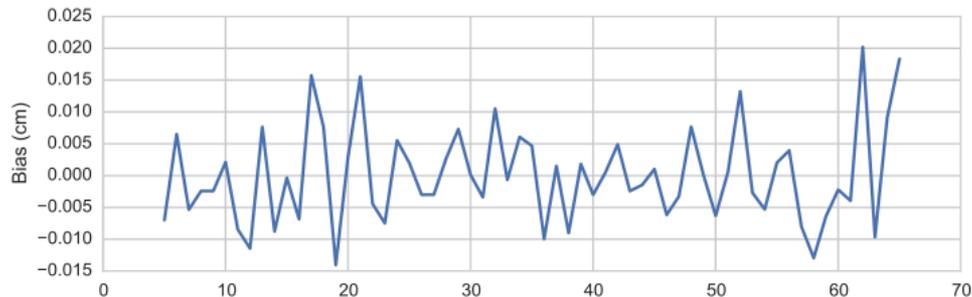
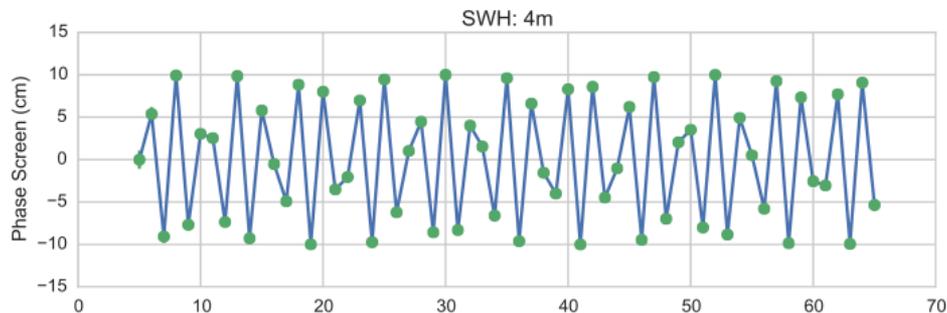
- The phase screen is a systematic distortion of measured heights that depends only in cross-track position
  - The cross-track frequency and magnitude of the phase screen is not known *a priori*
- There is no impact of phase screen on SWOT along-track spectra (OCEAN requirement)
  - There can be impacts on cross-track spectra
- The phase screen requirements come from cross-track slopes in hydrology
- Phase screens will be calibrated using
  - Airborne measurements
  - Cross-over measurements
  - Along-track measurements



# Phase Screen Details



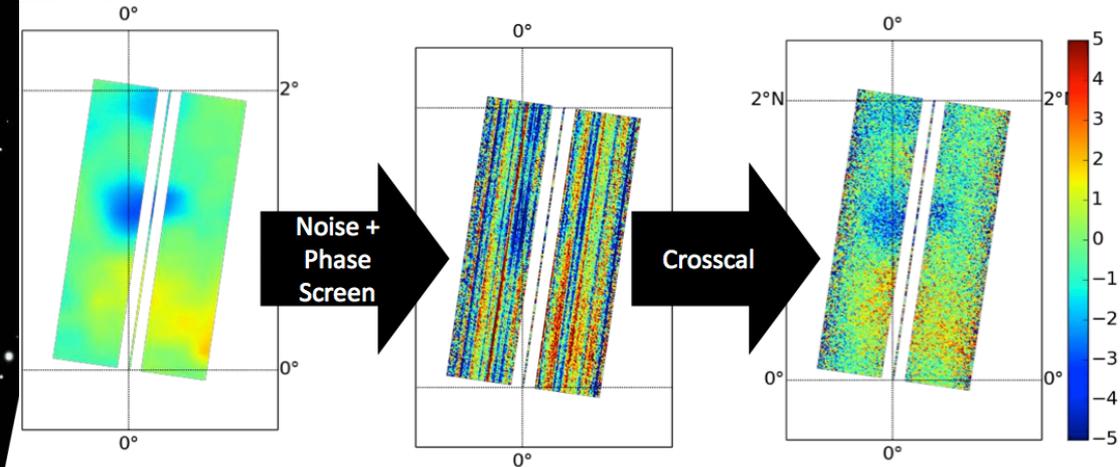
Along-track averaging extent is driven by need to beat down SWOT random error



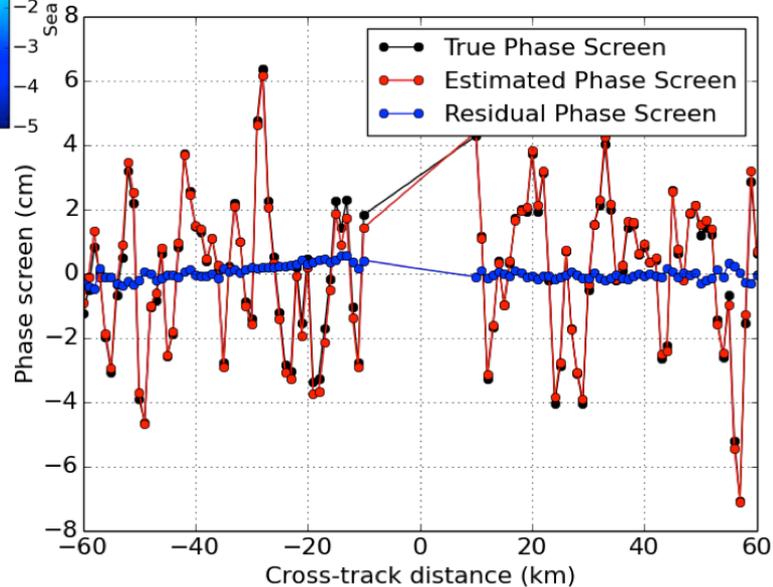
Crossover-based phase screen estimation accuracy in simple simulation



# Phase Screen Estimation Simulation



Results courtesy Gerald Dibarboure



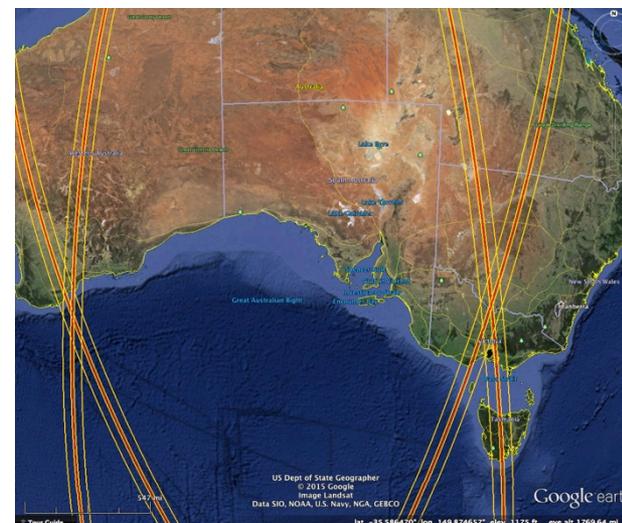
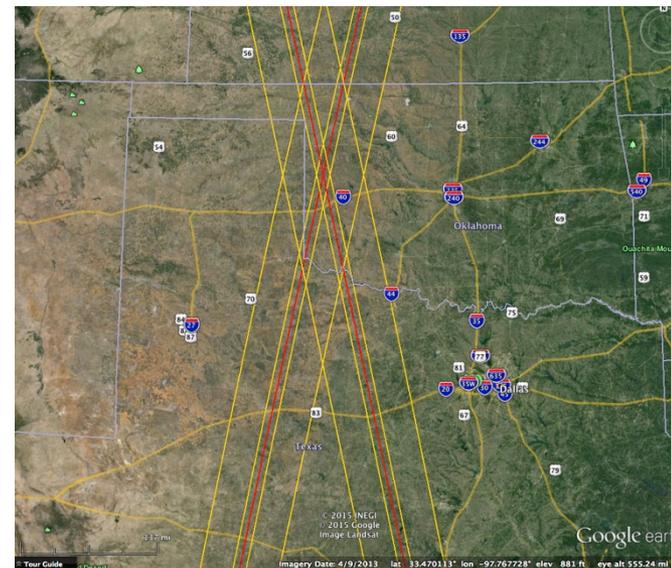
- Simulation of direct estimation approach including SSH variation, swath geometry, etc. demonstrates ability to estimate phase screen with SWOT data only
  - Submillimeter error with 1-3 days of data
  - Could be applicable beyond fast-repeat phase to monitor phase screen stability
- Assumes model-based removal of bulk signal variations and inversion for phase screen
- Phase screen is orthogonal to other parameters estimated by operational calibration algorithms

- Uncalibrated: 2.3 cm RMS → Calibrated (one image): 0.21 cm RMS
- Estimation error from mesoscale and noise will average out (calibration one daily dataset should yield sub-millimetric residuals)



# Corner Reflector Calibration & Sites

- Oklahoma/Kansas Site
  - US Project site
  - Primary corner reflector site
- Australia Site
  - US Project site
  - Secondary corner reflector site at alternate latitude (France could also deploy a corner reflector site)
- Corner reflector objectives:
  - SAR point target response validation
  - Approximate common-range calibration for on-board param during commissioning
  - Validate geolocation accuracy
  - Secondary phase screen validation
- Site characteristics
  - Seven reflectors per swath
  - Zenith pointed reflectors





# Validation



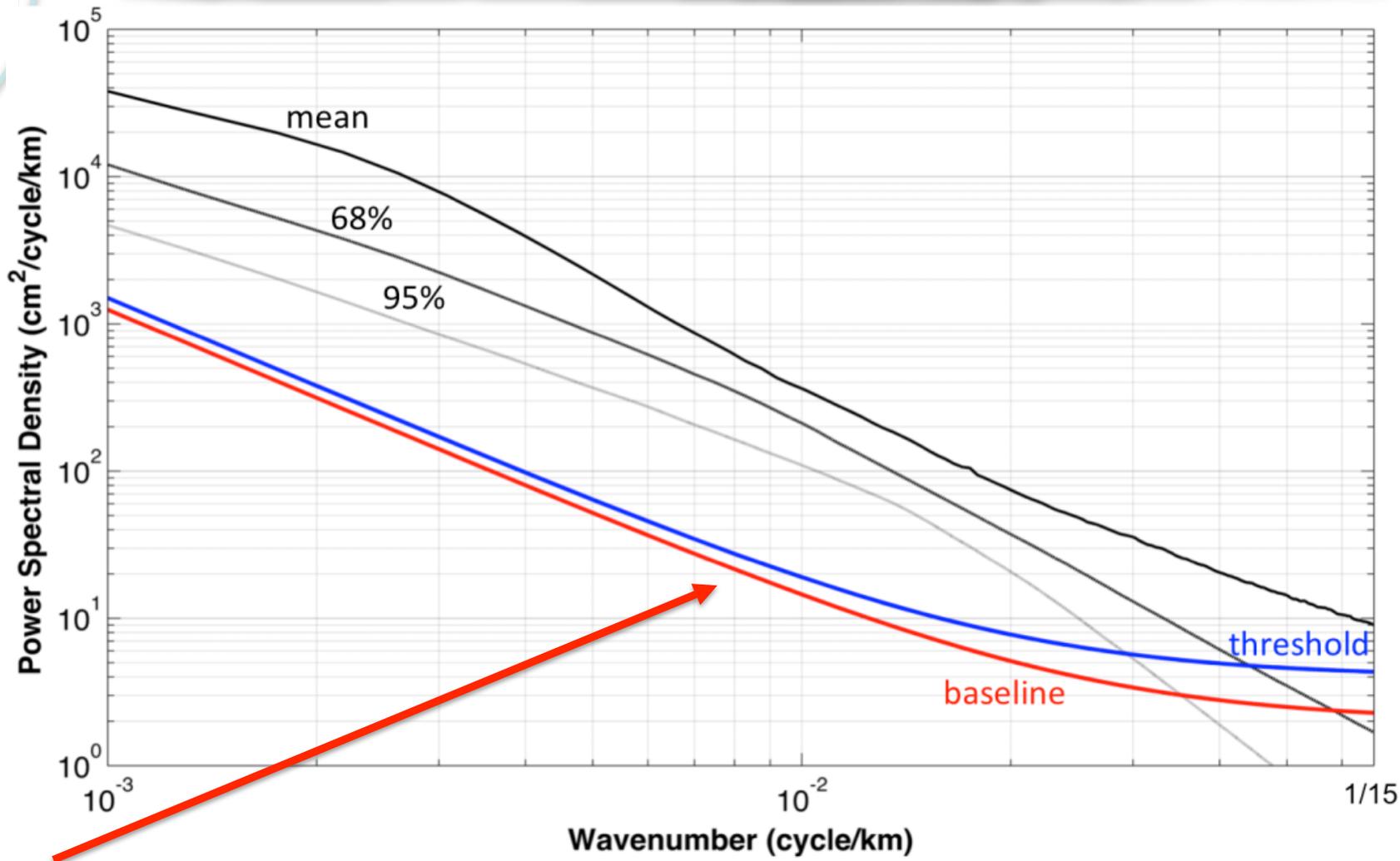
# Key Validation Challenges

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- Ocean
  - How can the high-frequency spectrum be validated?
- Hydrology
  - How can the large variability of hydrology targets be sampled?
  - How can validation data be stored and distributed widely?



# SSH Error PSD Requirement (D-61923)



The spectrum of the **difference** between measured and truth SSH needs to be characterized synoptically at scales between 15 km and ~150 km wavelengths



# Three Spectral Validation Approaches Identified

- AirSWOT (JPL SWOT project instrument )
  - Interferometric airborne platform similar to KaRIN
  - Has not yet demonstrated ability to meet validation requirements
- MASS lidar (Scripps, K. Melville, L. Lenain)
  - Has demonstrated ability to reproduce Jason-scale SSH
  - Validation of performance for higher frequencies currently being funded by SWOT project
- In situ instrumentation
  - Challenges are in demonstrating synoptic performance and reaching required accuracy
  - SWOT measurement noise must be accounted for by averaging before comparing to in situ data
  - Multiple approaches being studied (Moorings, CTD's, PIES, gliders, drifters, ...)
  - Special workshop on Thursday as a follow-on to the science team meeting



# Hydrology Global Coverage

- Tier 1 project sites in North America and France
  - Sub-tropics to arctic
- Must be complemented with additional sites from science team, operational agencies, foreign partners
- Brazil is currently seriously examining validation activities in coordination with IRD (France)
- More work needs to be done coordination Tier 1 sites, coordinating with other science team sites, bringing in international partners

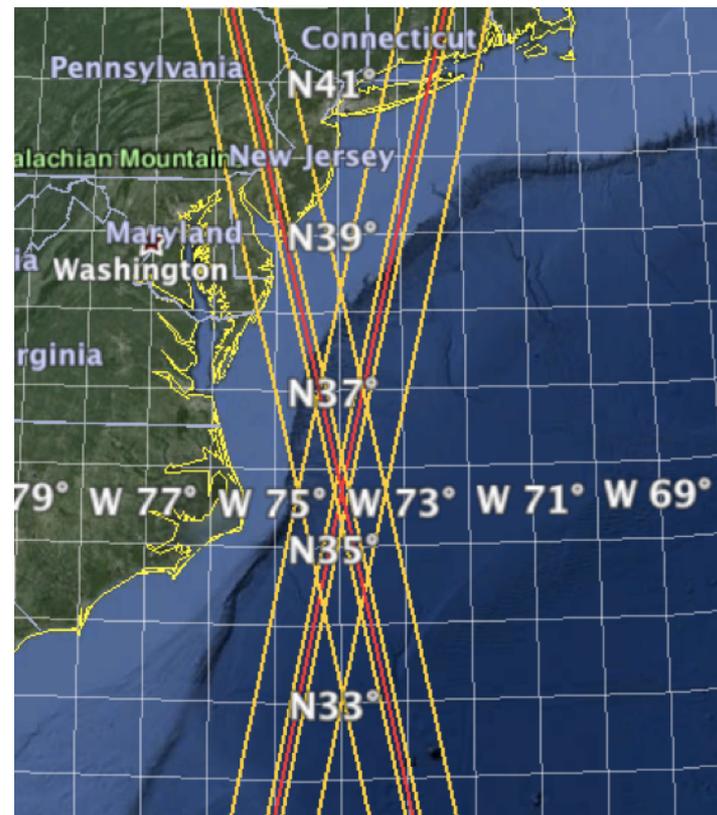


Rio Negro GPS survey for stage/slope in support of SWOT from IRD/Brazil partnership.



# Primary 2-D SSH Validation Site

- Gulf Stream Validation Site will be used for primary 2-D SSH validation
  - Covered by crossover-diamond during 1-day orbit
  - Easier logistics for airborne mapping (e.g., AirSWOT)
  - More favorable weather for lidar (vs. fog off CA)
  - Long history of study
    - ◆ Existing instrumentation
    - ◆ Interesting features
- Primary site for validation of 10-100 km SSH error wavelengths





# Backup 2-D SSH Validation Site

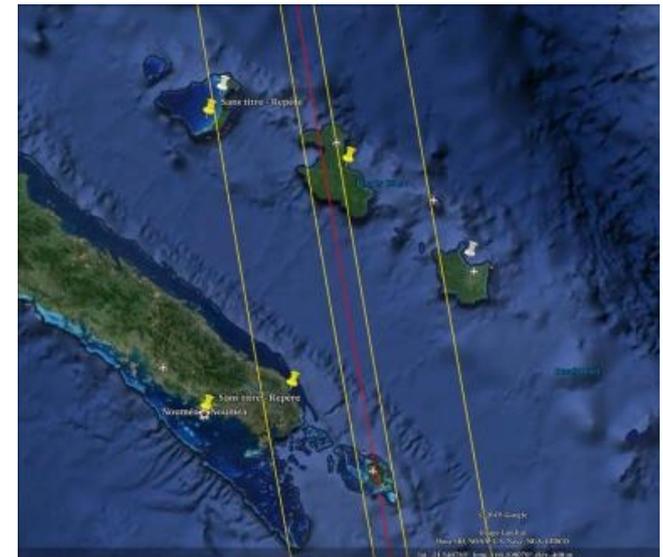
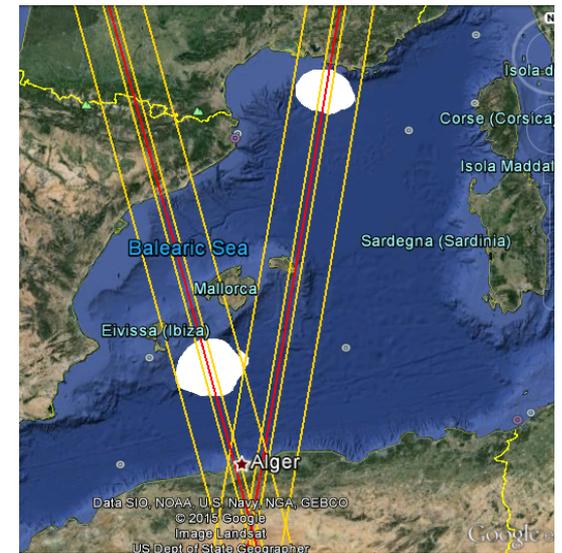
- California Current/Coast Validation Site will be backup for 2-D SSH validation
  - Covered by crossover-diamond during 1-day orbit
  - Easiest logistics for AirSWOT
  - Must contend with military warning zones
  - Fog may be problem for lidar
- Had previously been primary site for validation of 10-100 km SSH error wavelengths, now backup





# Other Potential Ocean Validation Sites

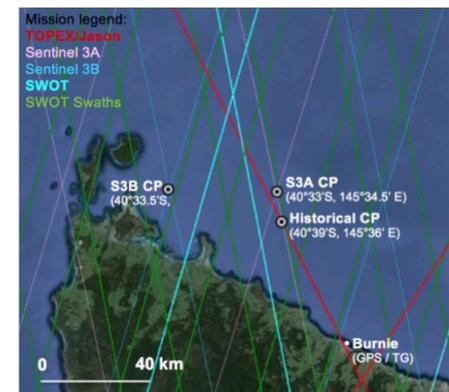
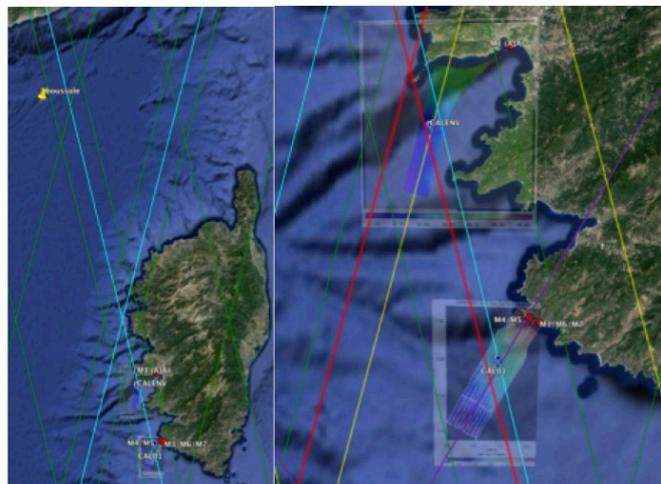
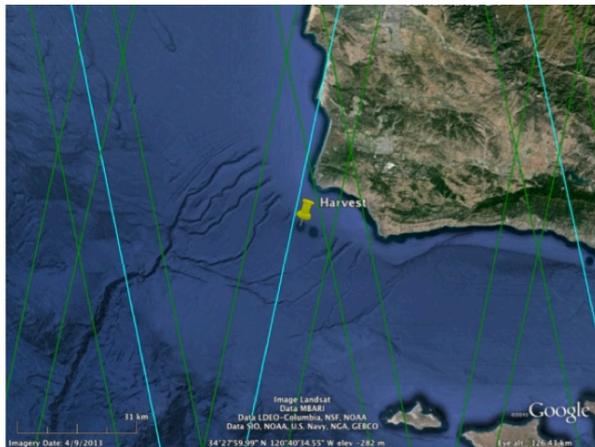
- Mediterranean Validation Site
  - Potential French project
  - Offers validation for characteristics of enclosed sea
- Loyalty Validation Site
  - Potential support for site through ROSES/TOSCA
  - Subject of previous study, including AltiKa science characterization





# Ocean Altimetry Cal/Val Sites

- SWOT leverages existing altimeter calibration sites for calibration of nadir altimeter and, by extension, absolute range calibration of KaRIn:
  - Harvest (Backup US Jason Site)
  - Corsica (French Jason and SARAL Site, Nominal SWOT site)
  - Bass Strait (Backup Australia Jason Site)
- Sites have extensive instrumentation and long history of data specifically for Cal/Val of ocean altimetry missions
- Nominal SWOT orbit passes very close to all three of above sites



Cal/Val facility with Jason-series "historical" comparison point (CP), Sentinel-3A



# Hydrology Tier 1 vs Tier 2 Sites

- Tier 1 sites involve direct field measurements by/for SWOT (10–20 sites)
  - Dense networks of GPS leveled pressure transducers or GPS buoys (every 6–20 river widths)
  - AirSWOT (not necessarily coincident with SWOT)
  - Other airborne measurements (lidar, NIR camera, L-band SAR) at some sites
  - Modeling/analysis work
  - Metrology stations
- Tier 2 sites leverage existing measurement assets to characterize SWOT spatial variability (~100 sites)
  - e.g., Data from existing gauges leveled by GPS that are freely available from USGS (or equivalent from other countries)
  - Not enough detail to troubleshoot potential SWOT issues or subtleties, but enough first order info to provide more comprehensive assessment/validation of performance



# River Validation Sites

- Willamette River Site
  - US Project site
  - Single and multiple-threaded channels, typical of temperate climate rivers
  - Near SWOT 100 m baseline width requirement
- Garonne River Site
  - Potential French Project site (potentially others also: Rhone, Rhin, Loire,...)
  - Well defined channel along reaches covered by 1-day orbit
  - Can evaluate effects of layover
- Lower Mississippi Site
  - US Project site
  - Wide, low slope river
- Connecticut River Site
  - US Project site
  - Validation of ice flagging/performance
- Tanana River Site
  - US Project site
  - Large, complex, braided river with changing planform
  - Validation of ice flagging/performance
- Canadian Arctic Sites
  - Potential Canadian (Environment Canada) sites, some in cooperation with USGS
- South American Sites
  - Potential French/Foreign Partner sites



# Lake Validation Sites

- Lake Issykkul Site
  - Potential French Project site (potentially other sites over French regions also)
  - Heritage validation site for satellite altimeters
  - Far from ocean, so good for validating crossover-based roll/phase corrections
- Lake Tahoe Site
  - US Project site
  - Large, high-altitude lake
- Prairie Potholes Site
  - US Project site
  - Validation of performance for small/ephemeral waterbodies
- Yukon Flats Lake and Wetlands Site
  - US Project site
  - Boreal wetland/lake complex
- South American Site
  - Potential French/Foreign Partner sites
  - Validation of lakes in mountainous area
- Exploring other sites in South America, Africa, South Asia



# Wetland Validation Sites

- Lower Mississippi River Wetlands Site
  - US Project site
  - Range of vegetation types
- Yukon Flats Lake and Wetlands Site
  - US Project site
  - Validation of wetland lakes in boreal regions
- Everglades Wetland Site
  - US Project site
  - Mixed moderate height canopy typical of lowland wetlands
- Potential French Site (still under discussion)
- All US wetland sites to be characterized with some combination of
  - Lidar DEM
  - AirSWOT
  - Near IR imaging
  - L-band SAR (e.g., UAVSAR)
  - in situ instrumentation
  - Ground survey



# Tidal/Estuary Validation Sites

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- Severn Estuary and River Site
  - Potential UK Project site
  - Highly dynamic tidal estuary
  - Covered by 1-day orbit
- Connecticut River Tidal Site
  - US Project site
  - More moderate tidal action than Severn
  - General validation for near-shore coastal areas
  - Covered by 1-day orbit
- Potential French Site (still under discussion)



# Summary

- The Science Definition Team (SDT) and CalVal Steering groups have developed a nominal CalVal plan
  - Your comments regarding this plan prior to official release are welcome!
- As we transition from the SDT to the ST, we need to coordinate with actual science team proposed activities to optimize the plan
- Ocean calibration of SSH is a major challenge
  - We are flying SWOT because these measurements are not easy in situ!
  - Science team participation in ocean CalVal workshop and follow-on are encouraged.
- Global hydrology calibration is a challenge of a different sort
  - Need to coordinate across project and science team activities
  - Need to continue outreach to foreign partners
  - Next step: meeting with South American hydrologists in Concepción, Chile, late November 2016 (follow up of 2015 Rio Meeting)
    - ◆ Opportunities for collaboration between SWOT science team members and South American hydrologists. Opportunity to bring in additional South American hydrologists into the science team.



# Backup



# Static Phase/Roll Biases

- Parameters: Static bias between estimated and true roll angle of baseline or differential phase (cannot readily distinguish roll knowledge error from differential phase error, so correct with same parameter)
  - Dynamic variations are not addressed by calibration; they must be removed by algorithms (estimated from crossovers) or residual errors accepted
- Estimation approach: Compare KaRIn ascending/descending data at crossover diamonds
  - Need 1-day repeat orbit to make ocean variation between passes small
  - Average many repeats to account for dynamic roll/phase variations, ocean temporal variation, residual troposphere (not removed by radiometer), etc.
- Minimum site/instrumentation needs: Ocean crossover diamonds during fast repeat phase



# Static Range Biases

- Parameter: Absolute range (height), to be made consistent with Topex/Jason series
- Estimation approach (multiple steps):
  - Calibrate nadir altimeter and/or cross calibrate nadir altimeter to current Jason series altimeter (heritage from Jason series)
  - Cross calibrate KaRIn to nadir altimeter by interpolating between KaRIn swaths and averaging long along-track spans to remove spatial variation
- Minimum site/instrumentation needs:
  - Long ocean swaths
  - Nadir altimeter calibration site



# KaRIn Backscatter Radiometric Calibration

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- Parameters: Gain (absolute, swath-to-swath) and antenna pattern corrections
  - Note: No requirement on radiometric accuracy
- Estimation approach: Cross calibrate to AirSWOT where incidence angles agree
- Minimum site/instrumentation needs:
  - Contemporaneous AirSWOT coverage with AirSWOT lines oriented to give coverage of SWOT look vectors
  - Comparison of KaRIn measurements to wind model functions
  - Corner reflector sites will contribute



# AMR Calibration

- Radiometer internal reference, front end path loss, etc. are calibrated pre launch
  - Typically accurate to better than 3%
- Brightness temperature (TB) will be tuned in flight, following heritage approach of previous radiometers:
  - Vicarious Earth references (cold ocean, amazon)
  - TBs from weather models
  - Inter-satellite comparison with other microwave radiometers
  - 2.7 K cosmic microwave background during cold-sky maneuvers (not in baseline)
- Beam-to-beam calibration:
  - Compare global averages (spatial/temporal), which have zero mean difference on time scales longer than ~10 days
  - Consider 90 deg yaw maneuver to orient beams along nadir track so they both see same scene with slight time separation (not in baseline)



# Calibration Parameters and Approaches

- Topics addressed in this subsection:
  - What are key calibration parameters?
  - What is approach for choosing values for these parameters?
- In this context, calibration parameters are parameters needed for ground processing that can only be selected based on flight observations
  - Parameters that are resident on spacecraft are set during commissioning activities before Cal/Val phase starts
    - ◆ Primary responsibility for setting on-board params lies with payload SE and Ops teams, not Cal/Val team
    - ◆ Cal/Val team should be cognizant of on-board params and may ultimately request changes, but baseline plan is that no changes will be made to on-board parameters after commissioning
  - Internal calibration data (e.g., KaRIn calibration loop, AMR reference load, etc.) are not included here (covered by instruments)



# Differential Range Delay

- Parameter: Difference in starting range between channels comprising an interferogram
- Estimation approach: Find peak in cross-correlation between SAR images from individual channels
  - Oversampling of complex data gives sub-pixel precision
  - Averaging of many estimates gives fine accuracy
  - Extensive heritage in airborne and spaceborne interferometry contexts
- Minimum site/instrumentation needs: None
  - Just need to have scene with enough SNR
  - Scene contrast not needed since correlated speckle between images gives good cross-correlation peak by itself



# Ocean Flag Validation

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- Rain and ice flags
  - Plausibility of statistics, maps, time series
  - Comparison to other spaceborne sensors
  - Compare SSH statistics with and without flags
- Land flags
  - Comparison to high-resolution land mask
  - Comparison to changes in statistics of  $\sigma_0$ , phase, coherence near known land boundaries



# Surface Water Flag Validation

- Rain flag:
  - Comparison to local meteorology stations
  - Commercial Doppler radar maps
  - Satellite products (e.g., GPM)
- Ice flag:
  - Satellite optical imagery to monitor ice breakup
  - Airborne optical imagery contemporaneous with SWOT at time of ice breakup at Tanana River Cal/Val site
- Land flag:
  - Basically inverse of water mask; see inundated surface area
- Layover flag:
  - Still under development



# Ocean Error Budget Validation



# Ocean Error Budget Validation

- Topics addressed in this subsection:
  - What observables need to be validated to convince ourselves that system is performing as expected?
    - ◆ Corollary: If system is not performing as expected, how do we fix it and/or make the best of it?
    - ◆ Need to ensure that we understand measurement in order to make proper science interpretations of the data
  - What is approach for validating these observables?
- Generally, Cal/Val will validate error budget terms that can be uniquely separated in order to identify problem areas, facilitate understanding and interpretation of data, etc.
  - Validation is loosely defined here as showing that system is doing the right thing
  - Separate from formal requirement verification that must be closed out before launch



# Key Ocean Error Budget Terms for Validation

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- Random height error (Nadir Altimeter, KaRIn)
- Roll/phase drift
- Precise orbit determination (POD)
- Media effects:
  - Wet troposphere delay
  - Dry troposphere delay
  - Ionosphere delay
- Electromagnetic bias/wave effects
- Flags (addressed in backup)



# Random Height Error

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- Nadir altimeter:
  - Look for floor in along-track PSD and compare to expected level
- KaRIn
  - Look for floor in along-track and cross-track PSDs and compare to expected level
  - Include analysis of swell effects on PSDs using models (e.g., WaveWatch3)
  - Examine differences at crossover diamonds during 1-day repeat orbit to eliminate high-spatial-frequency, low-temporal-frequency components of sea surface anomaly



# Roll/Phase Drift

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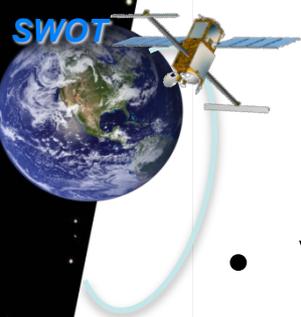
- Unknown roll of interferometric baseline cannot be distinguished from unknown channel-to-channel phase drift, so lump these terms together
- High-resolution processing chain relies on crossover data for estimation and removal of roll/phase drifts over land
- Compare magnitudes and statistics of estimated roll/phase drifts between crossovers to expected levels



# Precise Orbit Determination

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- POD approach and validation are largely inherited from previous altimeter missions
- Validation approaches:
  - Data residuals
  - DORIS, GPS, laser consistency
  - Altimeter crossover residuals
  - Comparisons of solutions between groups



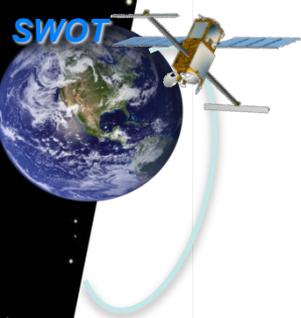
# Media Effects

- Validation of path delay effects follows previous altimeter missions:
  - Wet troposphere:
    - ◆ Beam-to-beam AMR comparisons to validate individual beams
    - ◆ Under flights with airborne radiometers (eg, HAMMR) and upward looking WVRs to validate high frequency error spectrum
    - ◆ Comparisons to models and coincident observations from other satellite radiometers
    - ◆ Data self consistency (e.g., crossovers) and spatial/temporal plausibility
  - Dry troposphere
    - ◆ Cross-model comparisons
    - ◆ Data self consistency (e.g., crossovers) and spatial/temporal plausibility
  - Ionosphere
    - ◆ Data self consistency (e.g., crossovers) and spatial/temporal plausibility
    - ◆ Comparison to GPS-based models



# EM Bias

- Nadir EM bias approach and validation inherited from previous altimeter missions:
  - Data self consistency (e.g., crossovers)
  - Comparisons to other models
- Off-nadir EM bias
  - Comparison to nadir altimetry
  - Comparison to coincident lidar data
- Fast sampling phase presents unique opportunity for determining the EM bias
  - 1-time/day (or 2-times/day at the cross-overs) SSH differences will be caused mainly by EM bias changes due to changing sea state conditions (although a smaller sub-mesoscale signature is also expected)
  - During the fast sampling period, it will be possible to process high resolution data over the ocean to fully characterize the wave spectrum, including SWH and wave direction.
  - We expect that traditional methods of determining the EM bias will yield results quickly given the short temporal revisits and enhanced wave information collected during this phase.



# Ocean Data Product Validation



# Ocean Data Product Validation

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- Topics addressed in this subsection:
  - What is approach for validating primary data products of mission?
- Data products must be compared to independent data to show that end-to-end measurement performance meets L1/L2 requirements



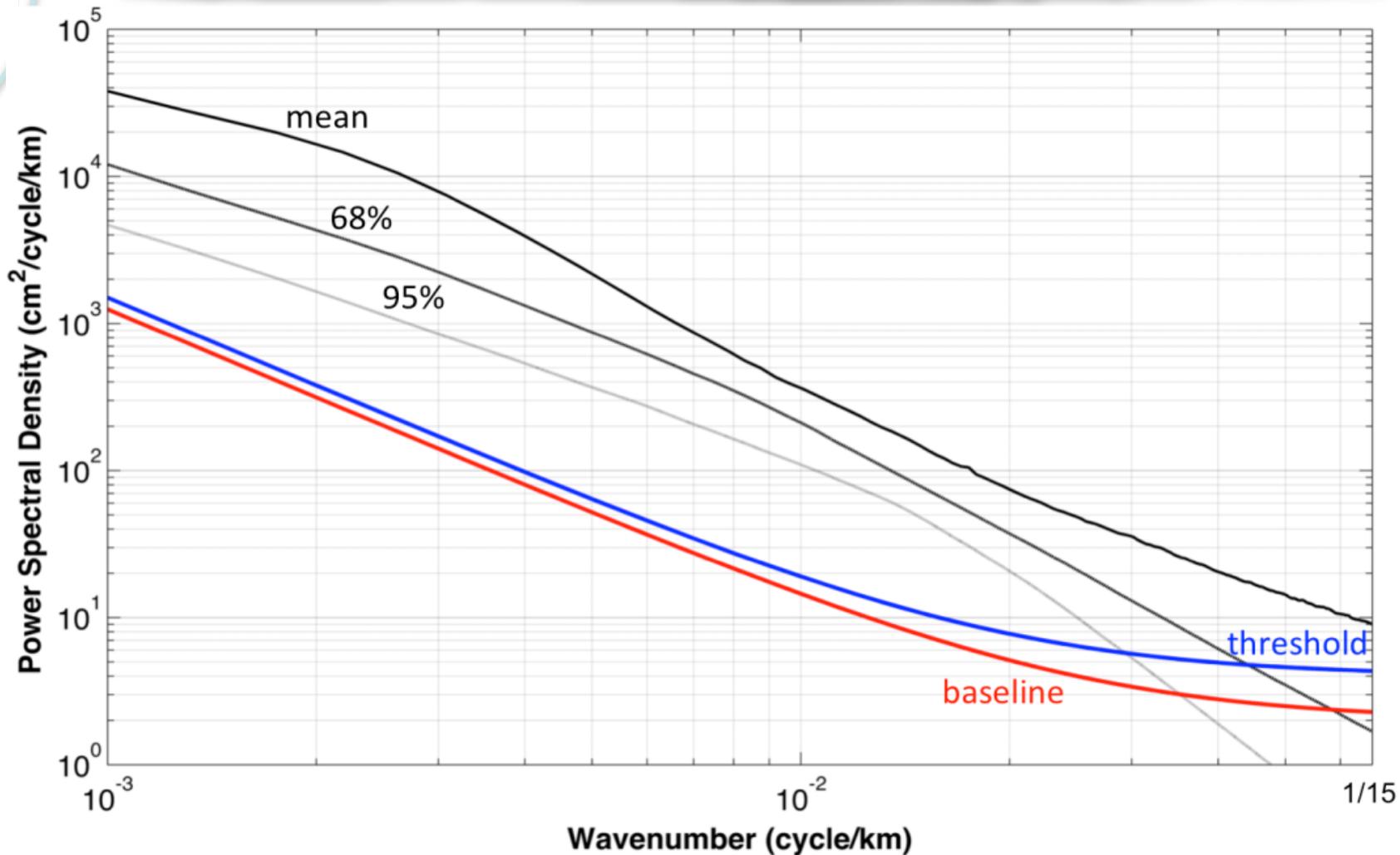
# Ocean Data Products for Validation

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- Sea surface height (SSH)
  - 10–100 km
  - 100–1000 km
- Significant wave height (SWH)
- sigma0
- Wind speed



# SSH Error PSD Requirement (D-61923)





# SSH from 10–100 km

- Validation of 10–100 km wavelengths of SSH error PSD requirement is based primarily on comparison to AirSWOT data
  - Collect AirSWOT data along lines parallel to SWOT tracks
  - Compute differences of SSH (corrected for tides, etc.)
  - Average across track
  - Compute spectra along track
- Additional validation can be done piecewise
  - 40–100 km wavelengths can be validated by comparison to other spaceborne nadir altimeters
  - 10–40 km wavelengths can be validated by comparison to airborne (AirSWOT, lidar) or in situ assets, which may have more difficulty covering longer length scales
  - Ocean models can also provide valuable info and we may also use other spaceborne data (color, SST, SAR, ...)



## SSH 100–1000 km

- Validation of 100–1000 km wavelengths of SSH error PSD requirement is based primarily on comparison of KaRIn and Nadir Altimeter
  - Average KaRIn data into bins in cross track direction
  - Compute SSH height difference between KaRIn and Nadir Altimeter
  - Compute spectra along track
- Additional validation can also be achieved by comparison to other spaceborne altimeters



# Significant Wave Height

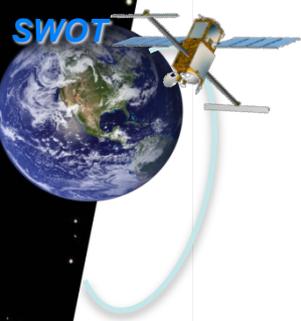
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- Nadir altimeter SWH approach and validation inherited from previous altimeter missions
- Off-nadir SWH validation uses similar approach as nadir SWH:
  - Statistical and spatial/temporal analyses for plausibility
  - Comparisons of crossovers with short temporal separations (during 1-day orbit)
  - Comparison to buoy data
  - Comparison to other satellite data (e.g., CFOSAT)
  - Cross comparison of KaRIn and Nadir Altimeter SWH



# sigma0 and Wind Speed

- Nadir altimeter approach and validation inherited from previous altimeter missions
- KaRIn (*No requirement on radiometric accuracy or wind speed*):
  - Similar statistical and spatial/temporal analyses as for Nadir Altimeter
  - Cross comparison of Nadir Altimeter and KaRIn sigma0
  - Comparison to external data (e.g., GPM/TRMM)
  - Comparison of different wind speed inversion algorithms



# Surface Water Error Budget Validation



# Surface Water Error Budget Validation

- Topics addressed in this subsection:
  - What observables need to be validated to convince ourselves that system is performing as expected?
    - ◆ Corollary: If system is not performing as expected, how do we fix it and/or make the best of it?
    - ◆ Need to ensure that we understand measurement in order to make proper science interpretations of the data
  - What is approach for validating these observables?
- Generally, Cal/Val will validate error budget terms that can be uniquely separated in order to identify problem areas, facilitate understanding and interpretation of data, etc.
  - Validation is loosely defined here as showing that system is doing the right thing
  - Separate from formal requirement verification that must be closed out before launch



# Key Surface Water Error Budget Terms for Validation

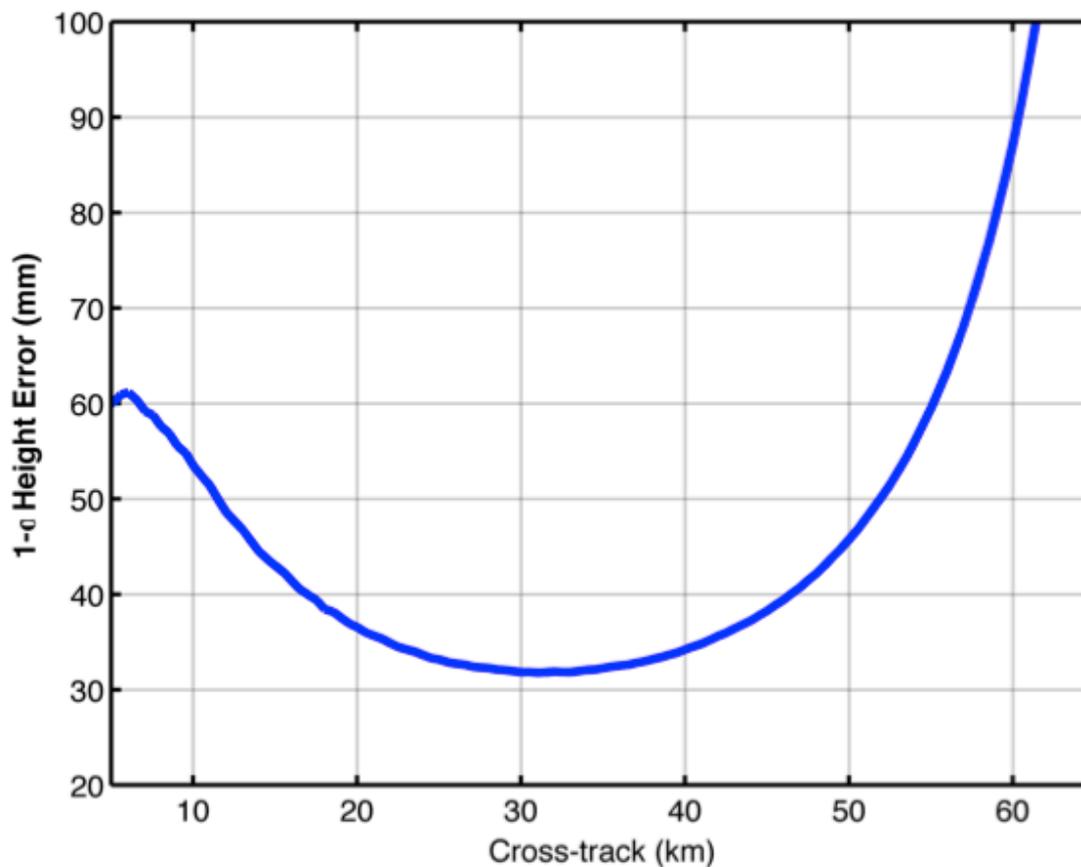
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- Random height error
- Absolute height error
- Inundated surface area
- Roll/phase drift
- Propagation delay
- Slope
- Geolocation
- Flags (addressed in backup)



# Random Height Error

- Validate random error by examining high-frequency variations over large lakes, examining correlation, backscatter, etc.

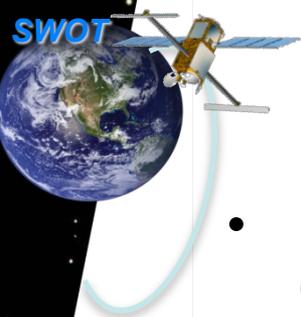




# Absolute Height Error

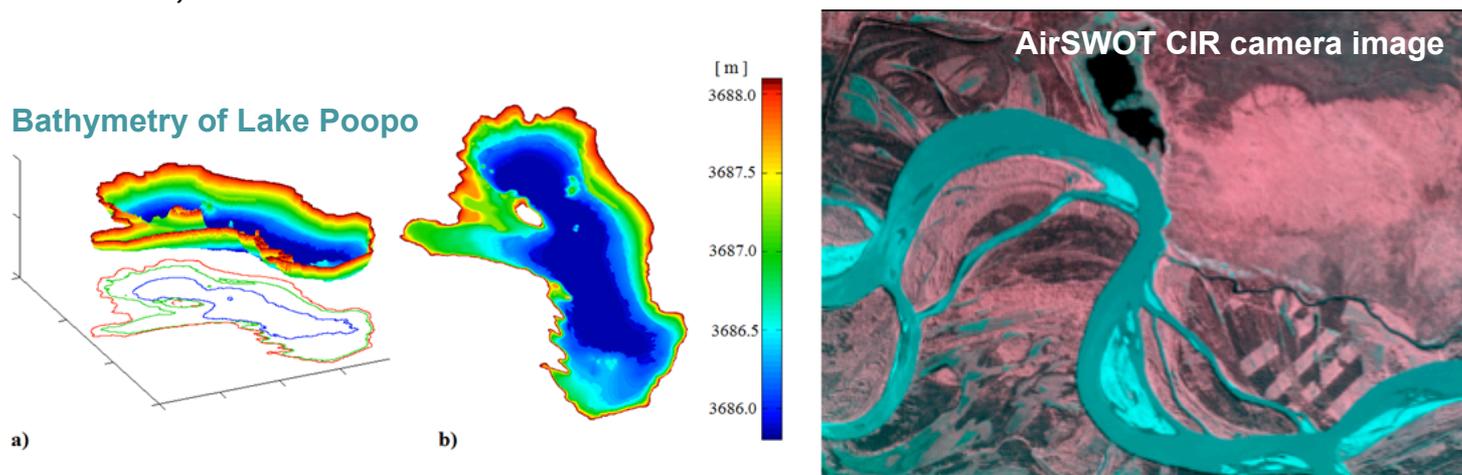
- Validate SWOT height measurements by comparison to field measurements at Cal/Val sites:
  - Dense networks of GNSS-leveled pressure transducers and GPS buoys
    - ◆ Impact of cross-channel water surface curvature to be characterized before launch
  - Direct measurement of free surface height at time of SWOT overpasses using GPS floats
  - External validation from nadir altimeter products for large lakes
  - Heritage from AirSWOT experiments in CA, OR, LA, AK





# Inundated Surface Area

- Inundated surface area validation achieved at Cal/Val sites by comparison of SWOT measurement to:
  - Direct observation with nearly contemporaneous airborne near/mid-infrared camera images
  - Indirect estimate of inundation extent computed from high-quality DEM/ bathymetry and field measurement of surface water elevation
  - Direct measurement of river widths by field teams walking along water/ land boundaries (Particularly for challenging areas such as where wet sand bars are adjacent to sediment-laden rivers--difficult to distinguish in NIR imagery)
  - Satellite imagery (SPOT, Landsat, MODIS) for large lakes
  - UAVSAR, lidar collections over wetland sites





# Roll/Phase Drift

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- Compare SWOT height measurements over large lakes whose surfaces shapes are stable and well characterized and validate that residual tilts are small
- Examine statistics of crossover roll estimates over ocean in nominal orbit (see ocean error budget validation slides)



# Propagation Delay Validation

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- Propagation delay corrections over land are based on models, not direct SWOT measurement
  - External models will have been validated already to varying degrees
- Compare SWOT model corrections to independent measurements:
  - Ground-based GPS wet troposphere and ionosphere estimates
  - Upward-looking radiometer and/or radiosonde data where available



# Slope

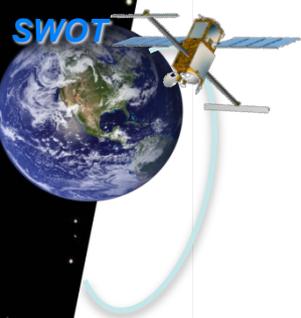
- Validate SWOT slope measurements by comparison to field measurements at Cal/Val sites:
  - Dense networks of leveled pressure transducers or GPS buoys
    - ◆ Pre-launch characterization of cross-channel elevation changes to compensate for such effects
  - Direct measurement of free surface height at time of SWOT overpasses using GPS floats
  - AirSWOT measurements during SWOT Cal/Val phase to measure slopes over long river reaches
  - Pairs of accurately leveled gauges at Tier-2 Cal/Val sites



# Geolocation

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- Validate by comparing geolocated corner reflector responses to surveyed positions
- Compare geolocations of identifiable features at Cal/Val sites to GPS surveyed positions or geolocations from airborne data



# Surface Water Data Product Validation



# Surface Water Data Product Validation

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- Topics addressed in this subsection:
  - What is approach for validating primary data products of mission?
- Data products must be compared to independent data to show that end-to-end measurement performance meets L1/L2 requirements



# Surface Water Data Products for Validation

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- Pixel cloud
- River vector products
- Lake vector products
- Raster product
  
- Discharge characterization (no accuracy requirement)



# Pixel Cloud

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- Pixel cloud includes height, geolocation, classification, etc.
  - Validate properties of pixel cloud product using approaches described in previous subsection



# River and Lake Vector Products

- Pass-based vector products are derived from pixel cloud for single SWOT overpass to give reach-scale or whole-lake height, slope, width/inundation extent, and discharge
  - Validate properties of pass-based vector product using approaches described in previous subsection
- Cycle-based vector products incorporate data from 21 day orbit cycle\*
  - Validate height and slope with time-series data from continuously logging gauges/pressure transducers
  - Validate inundation extent using rating curves from high-quality bathymetric DEMs and field measured water elevation

*\* Possibly monthly instead, but Cal/Val approach is unaffected*



# Discharge Characterization

- Characterization of derived bathymetry
  - Comparison to actual cross sections from ADCP
  - Comparison to external database of virtual river bed elevations
- Characterization of derived discharge
  - Comparison to in situ discharge measured directly by acoustic Doppler current profilers (ADCPs) at times of SWOT overflights
  - Comparison to existing and upcoming stream gauges and rating curves (e.g., USGS)
    - ◆ Rating curves will be built during pre-launch Cal/Val site characterization
  - Comparison to hydraulic models of selected Cal/Val sites
  - Statistical/morphological estimates of discharge at global scale



# Cal/Val Sites